1200 Allanson Road • Mundelein, IL 60060-3808 • 866-425-1385 • Fax: 847-566-4580 • E-mail: info@absa.org • Web Site: www.absa.org

## **Conflict-of-Interest Disclosure Statement**

The Conflict-of-Interest Policy of the American Biological Safety Association, Inc. requires any Director, Officer, Team Leader, Board Member, or Committee Member of ABSA to disclose any direct or indirect financial or other material conflict-of-interest (whether financial or otherwise) or coinvestment interest that he or she has or reasonably expects to have in any proposed or existing contract, transaction, or arrangement with ABSA or in any other matter under consideration or to be considered by the Council, Team Leader, the Executive Committee, or any other Committee made up in whole, or in part, by elected Directors or Officers of ABSA.

If your principal employment has changed since your last declaration, please provide the name and address of your new employer: Please initial each statement that applies to you and provide additional information as necessary: \_\_\_\_ I have read and am familiar with the ABSA Conflict-of-Interest Policy. I do not serve on other professional boards or hold an office in another professional organization. I have described in the attached letter relationships, including official titles and positions with other healthcare related organizations, including non-profit organizations. I am not aware of any direct or indirect financial or other material interest or coinvestment interest that is required to be disclosed under the Conflict-of-Interest Policy. I have described every direct or indirect financial or other material interest (financial or otherwise) or co-investment interest that is required to be disclosed under the Conflict-of-Interest Policy. (Please attach a letter providing complete details of any direct or indirect financial or other material interest or co-investment interest subject to the Conflict of Interest Policy). During the time I am a Director, Officer, Team Leader, Board Member, or Committee Member of ABSA, I agree to report promptly, to the ABSA Executive Director, any future situation that might involve or appear to involve me or any of my relatives in any potential conflict of interest with ABSA. I am completing this disclosure statement based on the definitions below that are taken from the Conflict-of-Interest Policy. Signature: Date: (Please print)

Committee:

For the purposes of this policy (ABSA Conflict of Interest), a Director, Officer, Team Leader, Board Member, or Committee Member has a direct or indirect financial or other material (financial or otherwise) interest in a proposed or existing contract, transaction, decision or arrangement (collectively, "arrangement") and must report such interest if he or she, or one of his or her relatives:

- Has a substantial financial interest directly in the proposed or existing arrangement; or
- Has a substantial financial interest in any organization that i) is a party to the proposed or existing arrangement; or ii) is in any way involved in the proposed or existing Arrangement, including through the provision of services in connection therewith (an "involved organization"); or
- Holds a position as trustee, director, officer, member, partner, shareholder, or employee in any such party or involved organization.

A Director's, Officer's, Board's or Committee Member's financial interest will be considered substantial if it involves:

- An ownership or investment interest representing more than 1% of the outstanding shares of a publicly traded company or 5% of the outstanding shares or comparable interest of a privately owned company with which ABSA has or is negotiating an arrangement or which is an involved organization with respect to the arrangement; or
- A relationship which produces a material amount of income (> 10% of gross annual income) for the Director or Officer, or a relative of the Director or Officer, with any entity with whom ABSA has or is negotiating an arrangement or which is an involved organization with respect to the arrangement; or
- A compensation arrangement of any kind with any entity or individual with which ABSA has or is negotiating an arrangement or with any involved organization with respect to the arrangement.

Each Director and each Officer of ABSA also is required to disclose whether he or she, or one of his or her relatives, has personal funds invested with an investment manager providing, or expected to provide, investment management services to the organization or in a professionally managed investment fund in which the organization is invested or is considering investing (a "co-investment interest"). For the purposes of this Conflict of Interest Policy, a "professionally managed investment fund" shall not include mutual funds or other similar investment vehicles generally available to the investing public on essentially the same terms.

Return the Disclosure Statement and any required additional information to ABSA Office as follows:

By mail:

American Biological Safety Association, Inc. 1200 Allanson Road Mundelein, IL 60060

Via Fax: 847-566-4580

I understand that my completed Disclosure Statement and any additional information thereto may be provided to and reviewed by others at ABSA in accordance with ABSA's internal policies.